

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.capitalizealbany.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.capitalizealbany.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.capitalizealbany.com
6. Are any Authority staff also employed by another government agency?	Yes	City of Albany
7. Has the Authority posted their mission statement to their website?	Yes	www.capitalizealbany.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.capitalizealbany.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	No	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.capitalizealbany.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.capitalizealbany.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.capitalizealbany.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.capitalizealbany.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	No	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	No	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Parente, David	Name	Birdsey, Tom
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/17/2009	Term Start Date	12/12/2007
Term Expiration Date	12/17/2012	Term Expiration Date	12/12/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Curley, Robert	Name	Sullivan, R. Mark
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/28/2011	Term Start Date	12/28/2011
Term Expiration Date	12/28/2014	Term Expiration Date	12/28/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Harris, John	Name	Sperry, Jeffrey
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/14/2010	Term Start Date	10/23/2006
Term Expiration Date	12/14/2013	Term Expiration Date	10/23/2012
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Whitehead, Dorsey	Name	Tomson, Anders
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/14/2010	Term Start Date	12/28/2011
Term Expiration Date	12/14/2013	Term Expiration Date	12/28/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Fancher, Michael	Name	McElroy, Lee
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/14/2010	Term Start Date	12/18/2008
Term Expiration Date	12/14/2013	Term Expiration Date	12/18/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Linnan, James	Name	Vennard, Michele
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/23/2006	Term Start Date	12/28/2011
Term Expiration Date	10/23/2012	Term Expiration Date	12/28/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Castellana, Michael
Chair of Board	Yes
If yes, Chair designated By.	Elected by Board
Term Start Date	12/18/2008
Term Expiration Date	12/18/2014
Title	
Has the Board member appointed a designee?	
Ex-officio	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Ali, Akhtara	Staff Accountant	Professional				FT	Yes	42,000.00	4,658	0	0	0	0	4,658	No	
Chevalier, Bradley	Economic Developer	Professional				FT	Yes	45,400.00	26,402	0	0	0	0	26,402	No	
D'Antonio, Ashley	Senior Economic Developer	Professional				FT	Yes	52,900.00	33,900	0	0	0	0	33,900	Yes	Yes
Daly, Megan	Vice President	Executive				PT	Yes	25,000.00	3,375	0	0	0	6,600	9,975	Yes	Yes
Gardner, Amy	Administrative Assistant	Administrative and Clerical				FT	Yes	34,770.00	32,658	0	0	0	0	32,658	No	
Hyland, Martha	Controller	Professional				FT	Yes	62,795.00	62,795	0	0	550	0	63,345	No	
Kipp, Stephanie	Program Assistant	Administrative and Clerical				FT	Yes	34,320.00	3,104	0	0	0	0	3,104	No	
Pidgeon, Maria	Senior Economic Developer	Professional				FT	Yes	57,200.00	8,312	0	0	0	0	8,312	Yes	Yes
Poupore, Joshua	Communications Officer	Professional				FT	Yes	58,000.00	20,123	0	0	2,250	0	22,373	No	
Smith, Erik	Vice President & CFO	Executive				FT	Yes	78,105.00	78,105	0	0	3,000	0	81,105	No	
Sullivan, Jeffrey	Financial Analyst	Professional				FT	Yes	57,200.00	34,680	0	0	850	0	35,530	Yes	Yes
Yevoli, Michael	President	Executive				PT	Yes	30,000.00	3,675	0	0	0	7,800	11,475	Yes	Yes

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Castellana, Michael	Board of Directors												X	
Vennard, Michele	Board of Directors												X	
Linnan, James	Board of Directors												X	
McElroy, Lee	Board of Directors												X	
Fancher, Michael	Board of Directors												X	
Tomson, Anders	Board of Directors												X	
Parente, David	Board of Directors												X	
Sperry, Jeffrey	Board of Directors												X	
Harris, John	Board of Directors												X	
Sullivan, R. Mark	Board of Directors												X	
Curley, Robert	Board of Directors												X	
Birdsey, Tom	Board of Directors												X	
Whitehead, Dorsey	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Citywide Property Holdings, LLC.	04/28/2008	Assisting the Corporation in the furtherance of its mission and to enhance project development.

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$3,572,020
Investments	\$2,644,806
Receivables, net	\$255,570
Other assets	\$180,620
Total Current Assets	\$6,653,016
Noncurrent Assets	
Restricted cash and investments	\$321,034
Long-term receivables, net	\$2,043,017
Other assets	\$2,625,041
Capital Assets	
Land and other nondepreciable property	\$870,522
Buildings and equipment	\$5,055,858
Infrastructure	\$90,143
Accumulated depreciation	\$1,880,817
Net Capital Assets	\$4,135,706
Total Noncurrent Assets	\$9,124,798
Total Assets	\$15,777,814

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$62,511
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$158,251
Deferred revenues	\$910,799
Bonds and notes payable	\$309,722
Other long-term obligations due within one year	\$7,200
Total Current Liabilities	\$1,448,483

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$4,220,825
Long Term Leases	\$0
Other long-term obligations	\$786,692
Total Noncurrent Liabilities	\$5,007,517

Total Liabilities

\$6,456,000

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$1,615,705
Restricted	\$289,781
Unrestricted	\$7,416,328
Total Net Assets	\$9,321,814

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$324,271
Rental & financing income	\$579,841
Other operating revenues	\$0
Total Operating Revenue	\$904,112

Operating Expenses

Salaries and wages	\$495,325
Other employee benefits	\$154,851
Professional services contracts	\$125,234
Supplies and materials	\$11,757
Depreciation & amortization	\$214,863
Other operating expenses	\$694,274
Total Operating Expenses	\$1,696,304

Operating Income (Loss) **(\$792,192)**

Nonoperating Revenues

Investment earnings	\$37,925
State subsidies/grants	\$90,000
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$320,000
Other nonoperating revenues	\$82,800
Total Nonoperating Revenue	\$530,725

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$113,209
Subsidies to other public authorities	\$0
Grants and donations	\$10,000
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$123,209
Income (Loss) Before Contributions	(\$384,676)
Capital Contributions	\$0
Change in net assets	(\$384,676)
Net assets (deficit) beginning of year	\$9,706,490
Other net assets changes	\$0
Net assets (deficit) at end of year	\$9,321,814

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	4,840,270.00	0.00	309,722.00	4,530,548.00
Other Non-State Funded					
Conduit					
Conduit Debt	0.00	0.00	0.00	0.00	0.00
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.capitalizealbany.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.capitalizealbany.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

1. Source of Grant Funds: Private
Name of Grant Recipient: City of Albany
Address Line1: Eagle Street
Address Line2:
City: ALBANY
State: NY
Zip - Plus4: 12207
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$10,000
Date Grant Awarded: 10/20/2011
Purpose of Grant: Marketing and Business Recruitment

Was the grant expected to result
in new jobs being created? No

If yes, how many jobs were planned
to be created?

If yes, how many jobs have been
created to date?

Loan Information

1. Source of Loan Funds: Other
 Name of Loan Recipient: 196 Washington Ave., Inc.
 Address Line1: 1 Rapp Road
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12203
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 05/04/2000
 Interest Rate(%): 6
 Length of Loan(# of years
 to repay): 22
 Amount of Loan Principal Repaid
 to Date: \$22,991.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

2. Source of Loan Funds: Federal
 Name of Loan Recipient: Ace Holding, LLC.
 Address Line1: 2C Sterling Ridge Drive
 Address Line2:
 City: RENSSELAER
 State: NY
 Zip - Plus4: 12144
 Province/Region:
 Country: USA
 Original Amount of Loan: \$100,000
 Date Loan Awarded: 02/19/2004
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 15
 Amount of Loan Principal Repaid
 to Date: \$8,898.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 3
 Have the terms of the loan been
 completed? No

Loan Information

3. Source of Loan Funds: Other
 Name of Loan Recipient: Ace Holding, LLC.
 Address Line1: 2C Sterling Ridge Drive
 Address Line2:
 City: RENSSELAER
 State: NY
 Zip - Plus4: 12144
 Province/Region:
 Country: USA
 Original Amount of Loan: \$45,000
 Date Loan Awarded: 11/02/2001
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$5,858.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

4. Source of Loan Funds: Other
 Name of Loan Recipient: Adirondack Environmental Services
 Address Line1: 314 North Pearl Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$564,220
 Date Loan Awarded: 09/28/1989
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$493,108.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

5. Source of Loan Funds: Other
 Name of Loan Recipient: Albany Center for Economic Success
 Address Line1: 255 Orange Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$220,000
 Date Loan Awarded: 04/20/1993
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 25
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 10
 If yes, how many jobs have been
 created to date? 10
 Have the terms of the loan been
 completed? No

6. Source of Loan Funds: Federal
 Name of Loan Recipient: Albany Community Development Agency
 Address Line1: 200 Henry Johnson Blvd.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$410,000
 Date Loan Awarded: 12/23/2011
 Interest Rate(%): 5.75
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

7. Source of Loan Funds: Federal
 Name of Loan Recipient: Albany Community Development Agency
 Address Line1: 200 Henry Johnson Blvd.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$200,000
 Date Loan Awarded: 02/12/2004
 Interest Rate(%): 4.25
 Length of Loan(# of years
 to repay): 15
 Amount of Loan Principal Repaid
 to Date: \$59,677.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 7
 Have the terms of the loan been
 completed? No

8. Source of Loan Funds: Other
 Name of Loan Recipient: Albany Community Development Agency
 Address Line1: 200 Henry Johnson Blvd.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$90,000
 Date Loan Awarded: 12/23/2011
 Interest Rate(%): 5.75
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

9. Source of Loan Funds: Federal
 Name of Loan Recipient: Andrea Thompson-Howe
 Address Line1: 241 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 05/26/1987
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

10. Source of Loan Funds: Federal
 Name of Loan Recipient: Angela Boney D/B/A Boney Enterprises
 Address Line1: P.O. Box 12421
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12212
 Province/Region:
 Country: USA
 Original Amount of Loan: \$15,000
 Date Loan Awarded: 10/01/2002
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 17
 Amount of Loan Principal Repaid
 to Date: \$5,462.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

11. Source of Loan Funds: Federal
 Name of Loan Recipient: Angela Boney D/B/A Boney Enterprises
 Address Line1: P.O. Box 12421
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12212
 Province/Region:
 Country: USA
 Original Amount of Loan: \$55,000
 Date Loan Awarded: 10/25/2000
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$21,638.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

12. Source of Loan Funds: Federal
 Name of Loan Recipient: Anthony Perkins
 Address Line1: 225 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 03/29/1989
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

13. Source of Loan Funds: Other
 Name of Loan Recipient: Arbor Hill Development Corporation
 Address Line1: 241 Clinton Avenue
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$65,000
 Date Loan Awarded: 08/12/1994
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$21,314.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

14. Source of Loan Funds: Federal
 Name of Loan Recipient: Barbara Smith
 Address Line1: 235 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 04/03/1987
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

<p>15. Source of Loan Funds: Federal Name of Loan Recipient: Benjamin White Address Line1: 179 Livingston Ave. Address Line2: City: ALBANY State: NY Zip - Plus4: 12210 Province/Region: Country: USA Original Amount of Loan: \$11,107 Date Loan Awarded: 12/28/1988 Interest Rate(%): 3 Length of Loan(# of years to repay): 30 Amount of Loan Principal Repaid to Date: \$0.00 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>	<p>16. Source of Loan Funds: Other Name of Loan Recipient: Boulevard Apartments, LLC. Address Line1: 112 High Street Address Line2: City: HOOSICK FALLS State: NY Zip - Plus4: 12090 Province/Region: Country: USA Original Amount of Loan: \$175,000 Date Loan Awarded: 06/19/2003 Interest Rate(%): 7 Length of Loan(# of years to repay): 30 Amount of Loan Principal Repaid to Date: \$17,893.00 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? Yes If yes, how many jobs were planned to be created? 1 If yes, how many jobs have been created to date? 0 Have the terms of the loan been completed? No</p>
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Loan Information

17. Source of Loan Funds: Federal
 Name of Loan Recipient: Byron Hamilton
 Address Line1: P.O. Box 5216
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12205
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 11/23/1988
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

18. Source of Loan Funds: Other
 Name of Loan Recipient: C.H. Evans Brewing Co., Inc.
 Address Line1: 19 Quakenbush Square
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$90,500
 Date Loan Awarded: 10/23/1997
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$49,808.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 30
 If yes, how many jobs have been
 created to date? 32
 Have the terms of the loan been
 completed? No

Loan Information

19. Source of Loan Funds: Other
 Name of Loan Recipient: Center for Medical Science, Inc.
 Address Line1: 747 Pierce Road
 Address Line2:
 City: CLIFTON PARK
 State: NY
 Zip - Plus4: 12065
 Province/Region:
 Country: USA
 Original Amount of Loan: \$500,000
 Date Loan Awarded: 08/28/2009
 Interest Rate(%): 7.25
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$30,842.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

20. Source of Loan Funds: Federal
 Name of Loan Recipient: Commando Lodge
 Address Line1: 39 Second Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,000
 Date Loan Awarded: 12/27/1996
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$33,083.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

<p>21. Source of Loan Funds: Federal Name of Loan Recipient: Constance Spann Address Line1: 173 Livingston Ave. Address Line2: City: ALBANY State: NY Zip - Plus4: 12210 Province/Region: Country: USA Original Amount of Loan: \$11,107 Date Loan Awarded: 12/05/1988 Interest Rate(%): 3 Length of Loan(# of years to repay): 30 Amount of Loan Principal Repaid to Date: \$0.00 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>	<p>22. Source of Loan Funds: Other Name of Loan Recipient: Costev, Inc. Address Line1: 31 Hanacreek Drive Address Line2: City: RAVENA State: NY Zip - Plus4: 12143 Province/Region: Country: USA Original Amount of Loan: \$145,000 Date Loan Awarded: 12/11/2008 Interest Rate(%): 5 Length of Loan(# of years to repay): 30 Amount of Loan Principal Repaid to Date: \$4,388.00 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>
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Loan Information

23. Source of Loan Funds: Federal
 Name of Loan Recipient: Danz Properties Inc.
 Address Line1: 386 Elk Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12206
 Province/Region:
 Country: USA
 Original Amount of Loan: \$75,000
 Date Loan Awarded: 06/20/2001
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$26,933.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 3
 Have the terms of the loan been
 completed? No

24. Source of Loan Funds: Other
 Name of Loan Recipient: Douglas Townsend
 Address Line1: 12 Ramsey Place
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12208
 Province/Region:
 Country: USA
 Original Amount of Loan: \$56,000
 Date Loan Awarded: 09/15/1997
 Interest Rate(%): 8.5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$29,251.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

Loan Information

25. Source of Loan Funds: Federal
 Name of Loan Recipient: Historic Albany Foundation, Inc.
 Address Line1: 89 Lexington Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12206
 Province/Region:
 Country: USA
 Original Amount of Loan: \$190,000
 Date Loan Awarded: 10/19/2007
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 2
 Amount of Loan Principal Repaid
 to Date: \$178,816.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

26. Source of Loan Funds: Federal
 Name of Loan Recipient: James McBride
 Address Line1: 243 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 11/26/1986
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

27. Source of Loan Funds: Federal
 Name of Loan Recipient: John Raimo
 Address Line1: 4 Revere Run
 Address Line2:
 City: MECHANICVILLE
 State: NY
 Zip - Plus4: 12118
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 10/26/1989
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

28. Source of Loan Funds: Federal
 Name of Loan Recipient: Joyce Banks
 Address Line1: 162 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 03/02/1989
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

29. Source of Loan Funds: Federal
 Name of Loan Recipient: M.K. Rooney's Realty, LLC.
 Address Line1: 61 Ryckman Avenue
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12208
 Province/Region:
 Country: USA
 Original Amount of Loan: \$75,000
 Date Loan Awarded: 09/14/2001
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$26,340.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 6
 Have the terms of the loan been
 completed? No

30. Source of Loan Funds: Other
 Name of Loan Recipient: Mansions Rehab Associates
 Address Line1: 117 West Liberty Street
 Address Line2:
 City: ROME
 State: NY
 Zip - Plus4: 13440
 Province/Region:
 Country: USA
 Original Amount of Loan: \$330,800
 Date Loan Awarded: 09/23/1982
 Interest Rate(%): 9
 Length of Loan(# of years
 to repay): 43
 Amount of Loan Principal Repaid
 to Date: \$30,800.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

31. Source of Loan Funds: Federal
 Name of Loan Recipient: Mary Smalls
 Address Line1: 260 Colonie Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$14,805
 Date Loan Awarded: 10/23/1992
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

32. Source of Loan Funds: Other
 Name of Loan Recipient: Michael and Antonella Urgo
 Address Line1: 12 Briarwood Terrace
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12203
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 06/16/2006
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$9,074.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

33. Source of Loan Funds: Other
 Name of Loan Recipient: Morris Street Development, LLC.
 Address Line1: 1536 Union Street
 Address Line2:
 City: SCHENECTADY
 State: NY
 Zip - Plus4: 12309
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 12/11/2009
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$3,151.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

34. Source of Loan Funds: Federal
 Name of Loan Recipient: Moses Devanandan
 Address Line1: 177 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 03/31/1988
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

35. Source of Loan Funds: Other
 Name of Loan Recipient: Old Worl Provisions, Inc.
 Address Line1: 84 Westerlo Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12202
 Province/Region:
 Country: USA
 Original Amount of Loan: \$150,000
 Date Loan Awarded: 10/16/1995
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Prinicipal Repaid
 to Date: \$130,580.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 6
 If yes, how many jobs have been
 created to date? 13
 Have the terms of the loan been
 completed? No

36. Source of Loan Funds: Other
 Name of Loan Recipient: Pastures Redevelopment Company
 Address Line1: 117 West Liberty Street
 Address Line2:
 City: ROME
 State: NY
 Zip - Plus4: 13440
 Province/Region:
 Country: USA
 Original Amount of Loan: \$316,950
 Date Loan Awarded: 06/22/1982
 Interest Rate(%): 9
 Length of Loan(# of years
 to repay): 42
 Amount of Loan Prinicipal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

37. Source of Loan Funds: Other
 Name of Loan Recipient: Paul Truman, Inc.
 Address Line1: 80 North Pearl Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$45,000
 Date Loan Awarded: 01/06/2004
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 15
 Amount of Loan Principal Repaid
 to Date: \$19,573.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

38. Source of Loan Funds: Federal
 Name of Loan Recipient: Richard Dobush
 Address Line1: 16 Tivoli Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$75,000
 Date Loan Awarded: 07/02/2001
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$28,634.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

39. Source of Loan Funds: Federal
 Name of Loan Recipient: Steuben Place Partners
 Address Line1: P.O. Box 648
 Address Line2:
 City: COHOES
 State: NY
 Zip - Plus4: 12047
 Province/Region:
 Country: USA
 Original Amount of Loan: \$850,000
 Date Loan Awarded: 04/15/1981
 Interest Rate(%): 0
 Length of Loan(# of years
 to repay): 100
 Amount of Loan Principal Repaid
 to Date: \$50,089.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

40. Source of Loan Funds: Other
 Name of Loan Recipient: The College of Saint Rose
 Address Line1: 432 Western Avenue
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12203
 Province/Region:
 Country: USA
 Original Amount of Loan: \$500,000
 Date Loan Awarded: 03/11/2011
 Interest Rate(%): 0
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$250,000.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

41. Source of Loan Funds: Other
 Name of Loan Recipient: Theresa Kellom
 Address Line1: 462 Madison Avenue
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$35,000
 Date Loan Awarded: 12/31/2003
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 15
 Amount of Loan Principal Repaid
 to Date: \$17,385.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 3
 Have the terms of the loan been
 completed? No

42. Source of Loan Funds: Federal
 Name of Loan Recipient: Valentine Wilson
 Address Line1: 258 Colonie Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$14,805
 Date Loan Awarded: 10/23/1992
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

43. Source of Loan Funds: Federal
 Name of Loan Recipient: Wanda Austin-Peters
 Address Line1: 25 Hunter's Run Blvd.
 Address Line2:
 City: COHOES
 State: NY
 Zip - Plus4: 12047
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 08/16/1988
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

44. Source of Loan Funds: Federal
 Name of Loan Recipient: William Windom
 Address Line1: 245 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 12/05/1986
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments:

Capitalize Albany Corporation has been working towards PAAA/PARA compliance since mid2011 with the expectation of completing the remaining necessary compliance items during 2012.