

Governance Information (Authority-Related)

| Question | Response | URL (if applicable) |
|--|----------|--------------------------|
| 1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL? | Yes | www.capitalizealbany.com |
| 2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls? | Yes | www.capitalizealbany.com |
| 3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL? | Yes | N/A |
| 4. Does the independent auditor provide non-audit services to the Authority? | No | N/A |
| 5. Does the Authority have an organization chart? | Yes | www.capitalizealbany.com |
| 6. Are any Authority staff also employed by another government agency? | No | |
| 7. Has the Authority posted their mission statement to their website? | Yes | www.capitalizelbany.com |
| 8. Has the Authority's mission statement been revised and adopted during the reporting period? | No | N/A |
| 9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL. | | www.capitalizealbany.com |

Governance Information (Board-Related)

| Question | Response | URL |
|---|----------|--|
| 1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL? | Yes | N/A |
| 2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL? | Yes | N/A |
| 3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL? | Yes | N/A |
| 4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established): | | www.capitalizealbany.com |
| 5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL? | Yes | N/A |
| 6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year | | www.capitalizealbany.com |
| 7. Has the Board adopted bylaws and made them available to Board members and staff? | Yes | www.capitalizealbany.com |
| 8. Has the Board adopted a code of ethics for Board members and staff? | Yes | www.capitalizealbany.com |
| 9. Does the Board review and monitor the Authority's implementation of financial and management controls? | Yes | N/A |
| 10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL? | Yes | N/A |
| 11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL? | | |
| Salary and Compensation | Yes | N/A |
| Time and Attendance | Yes | N/A |
| Whistleblower Protection | Yes | N/A |
| Defense and Indemnification of Board Members | Yes | N/A |
| 12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL? | Yes | N/A |
| 13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL? | Yes | N/A |
| 14. Was a performance evaluation of the board completed? | Yes | N/A |
| 15. Was compensation paid by the Authority made in accordance with employee or union contracts? | No | N/A |
| 16. Has the board adopted a conditional/additional compensation policy governing all employees? | No | |
| | | |

Board of Directors Listing

| | | | |
|---|----------------|---|--------------|
| Name | Parente, David | Name | Harris, John |
| Chair of Board | No | Chair of Board | No |
| If yes, Chair designated By. | | If yes, Chair designated By. | |
| Term Start Date | 12/18/2012 | Term Start Date | 12/16/2014 |
| Term Expiration Date | 12/18/2015 | Term Expiration Date | 12/16/2017 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | No | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|---|---------------|---|---------------|
| Name | Jones, Robert | Name | Peter, Mathew |
| Chair of Board | No | Chair of Board | No |
| If yes, Chair designated By. | | If yes, Chair designated By. | |
| Term Start Date | 12/16/2013 | Term Start Date | 12/16/2014 |
| Term Expiration Date | 12/16/2016 | Term Expiration Date | 12/16/2017 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | No | Confirmed by Senate? | No |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes |

Board of Directors Listing

| | | | |
|---|-------------|---|-------------------|
| Name | Pedo, Susan | Name | Sullivan, R. Mark |
| Chair of Board | No | Chair of Board | No |
| If yes, Chair designated By. | | If yes, Chair designated By. | |
| Term Start Date | 12/16/2013 | Term Start Date | 12/16/2014 |
| Term Expiration Date | 12/16/2016 | Term Expiration Date | 12/16/2017 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | No | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|---|---------------------|---|----------------|
| Name | Castellana, Michael | Name | Curley, Robert |
| Chair of Board | Yes | Chair of Board | No |
| If yes, Chair designated By. | Elected by Board | If yes, Chair designated By. | |
| Term Start Date | 12/18/2012 | Term Start Date | 12/16/2014 |
| Term Expiration Date | 12/18/2015 | Term Expiration Date | 12/16/2017 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|---|------------------|---|-----------------|
| Name | Fancher, Michael | Name | Sperry, Jeffrey |
| Chair of Board | No | Chair of Board | No |
| If yes, Chair designated By. | | If yes, Chair designated By. | |
| Term Start Date | 12/16/2014 | Term Start Date | 12/18/2012 |
| Term Expiration Date | 12/16/2017 | Term Expiration Date | 12/18/2015 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|---|----------------|---|---------------|
| Name | Tomson, Anders | Name | Linnan, James |
| Chair of Board | No | Chair of Board | No |
| If yes, Chair designated By. | | If yes, Chair designated By. | |
| Term Start Date | 12/16/2014 | Term Start Date | 12/18/2012 |
| Term Expiration Date | 12/16/2017 | Term Expiration Date | 12/18/2015 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|---|------------|---|----------------|
| Name | Vero, John | Name | Zimpher, Nancy |
| Chair of Board | No | Chair of Board | No |
| If yes, Chair designated By. | | If yes, Chair designated By. | |
| Term Start Date | 12/18/2012 | Term Start Date | 12/18/2012 |
| Term Expiration Date | 12/18/2015 | Term Expiration Date | 12/18/2015 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | No |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|---|-------------------|---|------------------|
| Name | Whitehead, Dorsey | Name | Vennard, Michele |
| Chair of Board | No | Chair of Board | No |
| If yes, Chair designated By. | | If yes, Chair designated By. | |
| Term Start Date | 12/16/2013 | Term Start Date | 12/16/2014 |
| Term Expiration Date | 12/16/2016 | Term Expiration Date | 12/16/2017 |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Designee Name | | Designee Name | |
| Ex-officio | No | Ex-officio | No |
| Nominated By | Other | Nominated By | Other |
| Appointed By | Other | Appointed By | Other |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | No | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Staff Listing

| Name | Title | Group | Department / Subsidiary | Union Name | Barga- ining Unit | Full Time/ Part Time | Exempt | Base Annualized Salary | Actual salary paid to the Individual | Over time paid by Authority | Performance Bonus | Extra Pay | Other Compensa tion/Allo wances/Ad justments | Total Compens -ation | Individual also paid by another entity to perform the work of the Authority | If yes, Is the payment made by a State or local government |
|------------------------|--|--------------------------------|-------------------------|------------|-------------------------|-------------------------------|--------|------------------------------|--|--------------------------------------|----------------------|-----------|--|----------------------------|---|---|
| Burnash, Chantel | Executive Assistant | Administrative and Clerical | | | | FT | Yes | 52,000.00 | 4,500 | 0 | 0 | 0 | 0 | 4,500 | No | |
| Chevalier , Bradley | VP of Economic Developmen t | Executive | | | | FT | Yes | 90,758.00 | 83,137.1 | 0 | 0 | 0 | 0 | 83,137.1 | No | |
| Corcione, Andrew | Economic Developer | Professional | | | | FT | Yes | 45,000.00 | 48,000.02 | 0 | 0 | 0 | 0 | 48,000.02 | No | |
| Gardner, Amy | Economic Developmen t Assistant | Administrative and Clerical | | | | FT | Yes | 42,000.00 | 41,121.25 | 0 | 0 | 0 | 0 | 41,121.25 | No | |
| Newcombe, Katherine | Senior Economic Developer | Professional | | | | FT | Yes | 63,000.00 | 60,628.44 | 0 | 0 | 0 | 0 | 60,628.44 | No | |
| Opalka, Mark | Controller | Professional | | | | FT | Yes | 71,575.00 | 76,750.64 | 0 | 0 | 0 | 0 | 76,750.64 | No | |
| Reginelli , Sarah | President | Executive | | | | FT | Yes | 109,602.00 | 108,192.69 | 0 | 0 | 550 | 0 | 108,742.69 | No | |
| Smith, Erik | Vice President & CFO | Executive | | | | FT | Yes | 97,850.00 | 43,615.29 | 0 | 0 | 3,550 | 1,100 | 48,265.29 | No | |
| Vitullo, Amanda | Communicat ions & Marketing Assistant | Professional | | | | FT | Yes | 41,000.00 | 22,876.96 | 0 | 0 | 0 | 0 | 22,876.96 | No | |
| Yevoli, Michael | President | Executive | | | | PT | Yes | 31,365.00 | 21,008.92 | 0 | 0 | 0 | 1,100 | 22,108.92 | No | |

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

| Name | Title | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of These Benefits | Other |
|---------------------|--------------------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Castellana, Michael | Board of Directors | | | | | | | | | | | | X | |
| Vennard, Michele | Board of Directors | | | | | | | | | | | | X | |
| Jones, Robert | Board of Directors | | | | | | | | | | | | X | |
| Linnan, James | Board of Directors | | | | | | | | | | | | X | |
| Fancher, Michael | Board of Directors | | | | | | | | | | | | X | |
| Tomson, Anders | Board of Directors | | | | | | | | | | | | X | |
| Parente, David | Board of Directors | | | | | | | | | | | | X | |
| Sperry, Jeffrey | Board of Directors | | | | | | | | | | | | X | |
| Harris, John | Board of Directors | | | | | | | | | | | | X | |
| Sullivan, R. Mark | Board of Directors | | | | | | | | | | | | X | |
| Curley, Robert | Board of Directors | | | | | | | | | | | | X | |
| Zimpfer, Nancy | Board of Directors | | | | | | | | | | | | X | |
| Whitehead, Dorsey | Board of Directors | | | | | | | | | | | | X | |
| Vero, John | Board of Directors | | | | | | | | | | | | X | |
| Pedo, Susan | Board of Directors | | | | | | | | | | | | X | |
| Peter, Mathew | Board of Directors | | | | | | | | | | | | X | |

Staff

| Name | Title | Severance Package | Payment for Unused Leave | Club Memberships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of These Benefits | Other |
|------------------|-----------|-------------------|--------------------------|------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Reginelli, Sarah | President | | | | | | | | | | | | X | |

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

| Name of Subsidiary/Component Unit | Status | Requested Changes |
|-----------------------------------|--------|-------------------|
|-----------------------------------|--------|-------------------|

Subsidiary/Component Unit Creation

| Name of Subsidiary/Component Unit | Establishment Date | Entity Purpose |
|-----------------------------------|--------------------|---|
| Citywide Property Holdings LLC | 04/28/2008 | Assisting the Corporation in the furtherance of its mission and to enhance project development. |

Subsidiary/Component Unit Termination

| Name of Subsidiary/Component Unit | Termination Date | Termination Reason | Proof of Termination |
|-----------------------------------|------------------|--------------------|----------------------|
|-----------------------------------|------------------|--------------------|----------------------|

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

| <u>Assets</u> | |
|--|---------------------|
| Current Assets | |
| Cash and cash equivalents | \$4,088,738 |
| Investments | \$3,411,362 |
| Receivables, net | \$348,018 |
| Other assets | \$149,937 |
| Total Current Assets | \$7,998,055 |
| Noncurrent Assets | |
| Restricted cash and investments | \$515,528 |
| Long-term receivables, net | \$2,633,771 |
| Other assets | \$1,267,366 |
| Capital Assets | |
| Land and other nondepreciable property | \$416,821 |
| Buildings and equipment | \$4,850,236 |
| Infrastructure | \$135,640 |
| Accumulated depreciation | \$2,433,666 |
| Net Capital Assets | \$2,969,031 |
| Total Noncurrent Assets | \$7,385,696 |
| Total Assets | \$15,383,751 |

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

| | |
|---|--------------------|
| Accounts payable | \$253,576 |
| Pension contribution payable | \$0 |
| Other post-employment benefits | \$0 |
| Accrued liabilities | \$99,521 |
| Deferred revenues | \$486,374 |
| Bonds and notes payable | \$300,000 |
| Other long-term obligations due within one year | \$0 |
| Total Current Liabilities | \$1,139,471 |

Noncurrent Liabilities

| | |
|-------------------------------------|--------------------|
| Pension contribution payable | \$0 |
| Other post-employment benefits | \$0 |
| Bonds and notes payable | \$2,350,000 |
| Long Term Leases | \$0 |
| Other long-term obligations | \$783,822 |
| Total Noncurrent Liabilities | \$3,133,822 |

Total Liabilities

\$4,273,293

Net Asset (Deficit)

Net Asset

| | |
|---|---------------------|
| Invested in capital assets, net of related debt | \$914,953 |
| Restricted | \$304,993 |
| Unrestricted | \$9,890,512 |
| Total Net Assets | \$11,110,458 |

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

| | |
|--------------------------------|--------------------|
| Charges for services | \$364,851 |
| Rental & financing income | \$485,070 |
| Other operating revenues | \$1,485,514 |
| Total Operating Revenue | \$2,335,435 |

Operating Expenses

| | |
|---------------------------------|--------------------|
| Salaries and wages | \$498,985 |
| Other employee benefits | \$169,174 |
| Professional services contracts | \$371,298 |
| Supplies and materials | \$6,119 |
| Depreciation & amortization | \$212,183 |
| Other operating expenses | (\$21,754) |
| Total Operating Expenses | \$1,236,005 |

Operating Income (Loss) \$1,099,430

Nonoperating Revenues

| | |
|-----------------------------------|------------------|
| Investment earnings | \$70,491 |
| State subsidies/grants | \$46,784 |
| Federal subsidies/grants | \$29,696 |
| Municipal subsidies/grants | \$0 |
| Public authority subsidies | \$471,013 |
| Other nonoperating revenues | \$181,500 |
| Total Nonoperating Revenue | \$799,484 |

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

| | |
|---|--------------------|
| Interest and other financing charges | \$68,262 |
| Subsidies to other public authorities | \$0 |
| Grants and donations | \$323,966 |
| Other nonoperating expenses | \$44,631 |
| Total Nonoperating Expenses | \$436,859 |
| Income (Loss) Before Contributions | \$1,462,055 |
| Capital Contributions | \$0 |
| Change in net assets | \$1,462,055 |
| Net assets (deficit) beginning of year | \$9,648,403 |
| Other net assets changes | \$0 |
| Net assets (deficit) at end of year | \$11,110,458 |

Current Debt

| Question | Response |
|---|----------|
| 1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period? | Yes |
| 2. If yes, has the Authority issued any debt during the reporting period? | No |

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

| Type of Debt | Statutory Authorization (\$) | Outstanding Start of Fiscal Year (\$) | New Debt Issuances (\$) | Debt Retired (\$) | Outstanding End of Fiscal Year (\$) |
|--|------------------------------|---------------------------------------|-------------------------|-------------------|-------------------------------------|
| State Obligation | | | | | |
| State Guaranteed | | | | | |
| State Supported | | | | | |
| State Contingent Obligation | | | | | |
| State Moral Obligation | | | | | |
| Other State Funded | | | | | |
| Authority Obligation | | | | | |
| General Obligation | | | | | |
| Revenue | 0.00 | 3,868,590.00 | 0.00 | 1,218,590.00 | 2,650,000.00 |
| Other Non-State Funded | | | | | |
| Conduit | | | | | |
| Conduit Debt | | | | | |
| Conduit Debt - Pilot Increment Financing | | | | | |

Real Property Acquisition/Disposal List

1. Address Line1: 677 Broadway
Address Line2:
City: ALBANY
State: NY
Postal Code: 12207
Plus4:
Province/Region:
Country: USA
Property Description: Vacant Lot/Undeveloped Land
Estimated Fair Market Value: \$449,000
How was the Fair Market Other
Value Determined?:
Transaction Type: DISPOSITION LEASE PURCHASE
If Other, Explain:

Transaction Date: 08/18/2014
Purchase Sale Price: \$1,475,000.00
Lease Data (If applicable)
Market Rate(\$/square foot):
Lease Rate(\$/square foot):
Lease Period (months):
Seller/Purchaser/Tenant Data:
Organization: Columbia 677, LLC
Last Name:
First Name:

Address Line1: 302 Washington Ave Ext
Address Line2:
City: ALBANY
State: NY
Postal Code: 12203
Plus4:
Province/Region:
Country: USA
Relation With Board
member/senior authority
management? No

2. Address Line1: 245 Lark Street
Address Line2:
City: ALBANY
State: NY
Postal Code: 12210
Plus4:
Province/Region:
Country: USA
Property Description: Commercial Building
Estimated Fair Market Value: \$214,000
How was the Fair Market Appraisal
Value Determined?:
Transaction Type: DISPOSITION SALE
If Other, Explain:

Transaction Date: 09/08/2014
Purchase Sale Price: \$200,000.00
Lease Data (If applicable)
Market Rate(\$/square foot):
Lease Rate(\$/square foot):
Lease Period (months):
Seller/Purchaser/Tenant Data:
Organization: In Our Own Voice, Inc.
Last Name:
First Name:

Address Line1: 245 Lark Street
Address Line2:
City: ALBANY
State: NY
Postal Code: 12210
Plus4:
Province/Region:
Country: USA
Relation With Board
member/senior authority
management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

| Question | Response | URL (if applicable) |
|--|----------|--|
| 1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared? | Yes | www.capitalizealbany.com |
| 2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property? | Yes | www.capitalizealbany.com |
| 3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines? | Yes | |

Grant Information

1. Source of Grant Funds: State
Name of Grant Recipient: Kostandin Kacani
Address Line1: 3 Dana Avenue
Address Line2:
City: ALBANY
State: NY
Zip - Plus4: 12208
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$40,083.6
Date Grant Awarded: 08/14/2014
Purpose of Grant: Residential Property
Construction/Acquisition/Rehabilitation/Im
provement
Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

Loan Information

1. Source of Loan Funds: Other
 Name of Loan Recipient: 196 Washington Ave., Inc.
 Address Line1: 1 Rapp Road
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12203
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 05/04/2000
 Interest Rate(%): 6
 Length of Loan(# of years
 to repay): 22
 Amount of Loan Principal Repaid
 to Date: \$38,155.37
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

2. Source of Loan Funds: Other
 Name of Loan Recipient: 412 Broadway Realty LLC
 Address Line1: 664 Plank Rd
 Address Line2: Suite 205
 City: CLIFTON PARK
 State: NY
 Zip - Plus4: 12065
 Province/Region:
 Country: USA
 Original Amount of Loan: \$400,000
 Date Loan Awarded: 10/17/2014
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 12
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 8
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

Loan Information

3. Source of Loan Funds: Federal
Name of Loan Recipient: Ace Holding, LLC.
Address Line1: 2C Sterling Ridge Drive
Address Line2:
City: RENSSELAER
State: NY
Zip - Plus4: 12144
Province/Region:
Country: USA
Original Amount of Loan: \$100,000
Date Loan Awarded: 02/19/2004
Interest Rate(%): 5
Length of Loan(# of years
to repay): 15
Amount of Loan Principal Repaid
to Date: \$8,898.13
Purpose of Loan: Commercial Property
Construction/Acquisition/Revitalization/I
mprovement
Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 3
If yes, how many jobs have been
created to date? 3
Have the terms of the loan been
completed? No

4. Source of Loan Funds: Other
Name of Loan Recipient: Ace Holding, LLC.
Address Line1: 2C Sterling Ridge Drive
Address Line2:
City: RENSSELAER
State: NY
Zip - Plus4: 12144
Province/Region:
Country: USA
Original Amount of Loan: \$45,000
Date Loan Awarded: 11/02/2001
Interest Rate(%): 5
Length of Loan(# of years
to repay): 20
Amount of Loan Principal Repaid
to Date: \$11,857.65
Purpose of Loan: Residential Property
Construction/Acquisition/Rehabilitation/I
mprovement
Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Loan Information

5. Source of Loan Funds: Other
 Name of Loan Recipient: Albany Center for Economic Success
 Address Line1: 255 Orange Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$220,000
 Date Loan Awarded: 04/20/1993
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 25
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 10
 If yes, how many jobs have been
 created to date? 10
 Have the terms of the loan been
 completed? No

6. Source of Loan Funds: Other
 Name of Loan Recipient: Albany Community Development Agency
 Address Line1: 200 Henry Johnson Blvd.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$90,000
 Date Loan Awarded: 12/23/2011
 Interest Rate(%): 5.75
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$21,157.61
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

7. Source of Loan Funds: Federal
 Name of Loan Recipient: Albany Community Development Agency
 Address Line1: 200 Henry Johnson Blvd.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$410,000
 Date Loan Awarded: 12/23/2011
 Interest Rate(%): 5.75
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$96,384.67
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

8. Source of Loan Funds: Federal
 Name of Loan Recipient: Albany Community Development Agency
 Address Line1: 200 Henry Johnson Blvd.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$200,000
 Date Loan Awarded: 02/12/2004
 Interest Rate(%): 4.25
 Length of Loan(# of years
 to repay): 15
 Amount of Loan Principal Repaid
 to Date: \$87,035.59
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 7
 Have the terms of the loan been
 completed? No

Loan Information

9. Source of Loan Funds: Federal
 Name of Loan Recipient: Andrea Thompson-Howe
 Address Line1: 241 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 05/26/1987
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

10. Source of Loan Funds: Federal
 Name of Loan Recipient: Angela Boney D/B/A Boney Enterprises
 Address Line1: P.O. Box 12421
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12212
 Province/Region:
 Country: USA
 Original Amount of Loan: \$15,000
 Date Loan Awarded: 10/01/2002
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 17
 Amount of Loan Principal Repaid
 to Date: \$7,356.71
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

11. Source of Loan Funds: Federal
 Name of Loan Recipient: Angela Boney D/B/A Boney Enterprises
 Address Line1: P.O. Box 12421
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12212
 Province/Region:
 Country: USA
 Original Amount of Loan: \$55,000
 Date Loan Awarded: 10/25/2000
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$23,235.96
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

12. Source of Loan Funds: Federal
 Name of Loan Recipient: Anthony Perkins
 Address Line1: 225 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 03/29/1989
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

13. Source of Loan Funds: Other
 Name of Loan Recipient: Arbor Hill Development Corporation
 Address Line1: 241 Clinton Avenue
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$65,000
 Date Loan Awarded: 08/12/1994
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$28,405.89
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

14. Source of Loan Funds: Federal
 Name of Loan Recipient: Barbara Smith
 Address Line1: 235 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 04/03/1987
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

15. Source of Loan Funds: Federal
 Name of Loan Recipient: Benjamin White
 Address Line1: 179 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 12/28/1988
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

16. Source of Loan Funds: Other
 Name of Loan Recipient: Boulevard Apartments, LLC.
 Address Line1: 112 High Street
 Address Line2:
 City: HOOSICK FALLS
 State: NY
 Zip - Plus4: 12090
 Province/Region:
 Country: USA
 Original Amount of Loan: \$175,000
 Date Loan Awarded: 06/19/2003
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$27,787.97
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

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| <p>17. Source of Loan Funds: Federal Name of Loan Recipient: Byron Hamilton Address Line1: P.O. Box 5216 Address Line2: City: ALBANY State: NY Zip - Plus4: 12205 Province/Region: Country: USA Original Amount of Loan: \$11,107 Date Loan Awarded: 11/23/1988 Interest Rate(%): 3 Length of Loan(# of years to repay): 30 Amount of Loan Principal Repaid to Date: \$0.00 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p> | <p>18. Source of Loan Funds: Other Name of Loan Recipient: Center for Medical Science, Inc. Address Line1: 747 Pierce Road Address Line2: City: CLIFTON PARK State: NY Zip - Plus4: 12065 Province/Region: Country: USA Original Amount of Loan: \$500,000 Date Loan Awarded: 08/28/2009 Interest Rate(%): 7.25 Length of Loan(# of years to repay): 10 Amount of Loan Principal Repaid to Date: \$99,698.28 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p> |
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Loan Information

19. Source of Loan Funds: Federal
 Name of Loan Recipient: Commando Lodge
 Address Line1: 39 Second Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,000
 Date Loan Awarded: 12/27/1996
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$43,956.67
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

20. Source of Loan Funds: Federal
 Name of Loan Recipient: Constance Spann
 Address Line1: 173 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 12/05/1988
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$900.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

21. Source of Loan Funds: Other
 Name of Loan Recipient: Costev, Inc.
 Address Line1: 31 Hanacreek Drive
 Address Line2:
 City: RAVENA
 State: NY
 Zip - Plus4: 12143
 Province/Region:
 Country: USA
 Original Amount of Loan: \$145,000
 Date Loan Awarded: 12/11/2008
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$11,848.32
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

22. Source of Loan Funds: Federal
 Name of Loan Recipient: Danz Properties Inc.
 Address Line1: 386 Elk Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12206
 Province/Region:
 Country: USA
 Original Amount of Loan: \$75,000
 Date Loan Awarded: 06/20/2001
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$39,503.67
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 3
 Have the terms of the loan been
 completed? No

Loan Information

23. Source of Loan Funds: Other
 Name of Loan Recipient: Douglas Townsend
 Address Line1: 12 Ramsey Place
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12208
 Province/Region:
 Country: USA
 Original Amount of Loan: \$56,000
 Date Loan Awarded: 09/15/1997
 Interest Rate(%): 8.5
 Length of Loan(# of years
 to repay): 20
 Amount of Loan Principal Repaid
 to Date: \$41,361.53
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

24. Source of Loan Funds: Federal
 Name of Loan Recipient: Historic Albany Foundation, Inc.
 Address Line1: 89 Lexington Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12206
 Province/Region:
 Country: USA
 Original Amount of Loan: \$190,000
 Date Loan Awarded: 10/19/2007
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 2
 Amount of Loan Principal Repaid
 to Date: \$190,000.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

25. Source of Loan Funds: Federal
 Name of Loan Recipient: James McBride
 Address Line1: 243 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 11/26/1986
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

26. Source of Loan Funds: Federal
 Name of Loan Recipient: John Raimo
 Address Line1: 4 Revere Run
 Address Line2:
 City: MECHANICVILLE
 State: NY
 Zip - Plus4: 12118
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 10/26/1989
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

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| <p>27. Source of Loan Funds: Federal Name of Loan Recipient: Joyce Banks Address Line1: 162 Livingston Ave. Address Line2: City: ALBANY State: NY Zip - Plus4: 12210 Province/Region: Country: USA Original Amount of Loan: \$11,107 Date Loan Awarded: 03/02/1989 Interest Rate(%): 3 Length of Loan(# of years to repay): 30 Amount of Loan Principal Repaid to Date: \$0.00 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p> | <p>28. Source of Loan Funds: Federal Name of Loan Recipient: M.K. Rooney's Realty, LLC. Address Line1: 61 Ryckman Avenue Address Line2: City: ALBANY State: NY Zip - Plus4: 12208 Province/Region: Country: USA Original Amount of Loan: \$75,000 Date Loan Awarded: 09/14/2001 Interest Rate(%): 6.5 Length of Loan(# of years to repay): 20 Amount of Loan Principal Repaid to Date: \$38,055.70 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? Yes If yes, how many jobs were planned to be created? 3 If yes, how many jobs have been created to date? 6 Have the terms of the loan been completed? No</p> |
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Loan Information

29. Source of Loan Funds: Other
 Name of Loan Recipient: MNM Holding Company, LLC
 Address Line1: 374 Broadway
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$250,000
 Date Loan Awarded: 11/13/2012
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 11
 Amount of Loan Principal Repaid
 to Date: \$129,373.42
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

30. Source of Loan Funds: Other
 Name of Loan Recipient: Mansions Rehab Associates
 Address Line1: 117 West Liberty Street
 Address Line2:
 City: ROME
 State: NY
 Zip - Plus4: 13440
 Province/Region:
 Country: USA
 Original Amount of Loan: \$330,800
 Date Loan Awarded: 09/23/1982
 Interest Rate(%): 9
 Length of Loan(# of years
 to repay): 43
 Amount of Loan Principal Repaid
 to Date: \$30,800.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

31. Source of Loan Funds: Federal
 Name of Loan Recipient: Mary Smalls
 Address Line1: 260 Colonie Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$14,805
 Date Loan Awarded: 10/23/1992
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

32. Source of Loan Funds: Other
 Name of Loan Recipient: Michael and Antonella Urgo
 Address Line1: 12 Briarwood Terrace
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12203
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 06/16/2006
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$15,979.38
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

33. Source of Loan Funds: Other
 Name of Loan Recipient: Morris Street Development, LLC.
 Address Line1: 1536 Union Street
 Address Line2:
 City: SCHENECTADY
 State: NY
 Zip - Plus4: 12309
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 12/11/2009
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$125,000.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? Yes

34. Source of Loan Funds: Federal
 Name of Loan Recipient: Moses Devanandan
 Address Line1: 177 Livingston Ave.
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 03/31/1988
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

35. Source of Loan Funds: Other
 Name of Loan Recipient: Pastures Redevelopment Company
 Address Line1: 117 West Liberty Street
 Address Line2:
 City: ROME
 State: NY
 Zip - Plus4: 13440
 Province/Region:
 Country: USA
 Original Amount of Loan: \$316,950
 Date Loan Awarded: 06/22/1982
 Interest Rate(%): 9
 Length of Loan(# of years
 to repay): 42
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

36. Source of Loan Funds: Other
 Name of Loan Recipient: Paul Truman, Inc.
 Address Line1: 80 North Pearl Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$45,000
 Date Loan Awarded: 01/06/2004
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 15
 Amount of Loan Principal Repaid
 to Date: \$28,393.79
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

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| <p>37. Source of Loan Funds: Other Name of Loan Recipient: Penta On Broadway Address Line1: 95 Daniel Street Address Line2: City: SLINGERLANDS State: NY Zip - Plus4: 12159 Province/Region: Country: USA Original Amount of Loan: \$250,000 Date Loan Awarded: 02/17/2012 Interest Rate(%): 5.75 Length of Loan(# of years to repay): 7 Amount of Loan Principal Repaid to Date: \$5,993.81 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p> | <p>38. Source of Loan Funds: Federal Name of Loan Recipient: Richard Dobush Address Line1: 16 Tivoli Street Address Line2: City: ALBANY State: NY Zip - Plus4: 12207 Province/Region: Country: USA Original Amount of Loan: \$75,000 Date Loan Awarded: 07/02/2001 Interest Rate(%): 5 Length of Loan(# of years to repay): 20 Amount of Loan Principal Repaid to Date: \$33,616.89 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? Yes If yes, how many jobs were planned to be created? 2 If yes, how many jobs have been created to date? 1 Have the terms of the loan been completed? No</p> |
|--|---|

Loan Information

39. Source of Loan Funds: Other
 Name of Loan Recipient: Sixty State Place LLC
 Address Line1: 50 State Street, 6th Floor
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$500,000
 Date Loan Awarded: 04/29/2014
 Interest Rate(%): 4.75
 Length of Loan(# of years
 to repay): 6
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

40. Source of Loan Funds: Federal
 Name of Loan Recipient: Steuben Place Partners
 Address Line1: P.O. Box 648
 Address Line2:
 City: COHOES
 State: NY
 Zip - Plus4: 12047
 Province/Region:
 Country: USA
 Original Amount of Loan: \$850,000
 Date Loan Awarded: 04/15/1981
 Interest Rate(%): 0
 Length of Loan(# of years
 to repay): 100
 Amount of Loan Principal Repaid
 to Date: \$50,089.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

41. Source of Loan Funds: Other
 Name of Loan Recipient: The College of Saint Rose
 Address Line1: 432 Western Avenue
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12203
 Province/Region:
 Country: USA
 Original Amount of Loan: \$500,000
 Date Loan Awarded: 03/11/2011
 Interest Rate(%): 0
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$400,000.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

42. Source of Loan Funds: Other
 Name of Loan Recipient: Theresa Kellom
 Address Line1: 462 Madison Avenue
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12207
 Province/Region:
 Country: USA
 Original Amount of Loan: \$35,000
 Date Loan Awarded: 12/31/2003
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 15
 Amount of Loan Principal Repaid
 to Date: \$24,725.59
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 3
 Have the terms of the loan been
 completed? No

Loan Information

43. Source of Loan Funds: Federal
 Name of Loan Recipient: Valentine Wilson
 Address Line1: 258 Colonie Street
 Address Line2:
 City: ALBANY
 State: NY
 Zip - Plus4: 12210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$14,805
 Date Loan Awarded: 10/23/1992
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

44. Source of Loan Funds: Federal
 Name of Loan Recipient: Wanda Austin-Peters
 Address Line1: 25 Hunter's Run Blvd.
 Address Line2:
 City: COHOES
 State: NY
 Zip - Plus4: 12047
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,107
 Date Loan Awarded: 08/16/1988
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 30
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

45. Source of Loan Funds: Federal
Name of Loan Recipient: William Windom
Address Line1: 245 Livingston Ave.
Address Line2:
City: ALBANY
State: NY
Zip - Plus4: 12210
Province/Region:
Country: USA
Original Amount of Loan: \$11,107
Date Loan Awarded: 12/05/1986
Interest Rate(%): 3
Length of Loan(# of years
to repay): 30
Amount of Loan Principal Repaid
to Date: \$0.00
Purpose of Loan: Residential Property
Construction/Acquisition/Rehabilitation/I
mprovement
Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: