

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.capitalizealbany.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.capitalizealbany.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	www.albanyida.com
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.capitalizealbany.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.capitalizealbany.com

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.capitalizealbany.com">www.capitalizealbany.com</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.capitalizealbany.com">www.capitalizealbany.com</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.capitalizealbany.com">www.capitalizealbany.com</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.capitalizealbany.com">www.capitalizealbany.com</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

**Board of Directors Listing**

Name	Sperry, Jeffrey	Name	Rodriguez, Havidan
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/15/2015	Term Start Date	12/12/2017
Term Expiration Date	12/15/2018	Term Expiration Date	12/31/2020
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Castellana, Michael	Name	Vacant
Chair of Board	Yes	Chair of Board	
If yes, Chair designated By.	Elected by Board	If yes, Chair designated By.	
Term Start Date	12/15/2015	Term Start Date	
Term Expiration Date	12/18/2018	Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

**Board of Directors Listing**

Name	Fancher, Michael	Name	Pedo, Susan
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/12/2017	Term Start Date	12/16/2016
Term Expiration Date	12/31/2020	Term Expiration Date	12/16/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Parente, David	Name	Vacant
Chair of Board	No	Chair of Board	
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/15/2015	Term Start Date	
Term Expiration Date	12/15/2018	Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

**Board of Directors Listing**

Name	Peter, Matthew	Name	Tomson, Anders
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/12/2017	Term Start Date	12/12/2017
Term Expiration Date	12/31/2019	Term Expiration Date	12/31/2020
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Harris, John	Name	Torrejon, Karen
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/12/2017	Term Start Date	12/12/2017
Term Expiration Date	12/31/2019	Term Expiration Date	12/31/2020
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No



**Board of Directors Listing**

Name	Vacant	Name	Vennard, Michele
Chair of Board		Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date		Term Start Date	12/12/2017
Term Expiration Date		Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Curley, Robert	Name	Vero, John
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/12/2017	Term Start Date	12/15/2015
Term Expiration Date	12/31/2019	Term Expiration Date	12/15/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Peter, Matthew	Board of Directors												X	
Pedo, Susan	Board of Directors												X	
Vero, John	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Curley, Robert	Board of Directors												X	
Vacant	Board of Directors												X	
Harris, John	Board of Directors												X	
Sperry, Jeffrey	Board of Directors												X	
Parente, David	Board of Directors												X	
Tomson, Anders	Board of Directors												X	
Fancher, Michael	Board of Directors												X	
Rodriguez, Havidan	Board of Directors												X	
Vennard, Michele	Board of Directors												X	
Castellana, Michael	Board of Directors												X	
Torrejón, Karen	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut Yes

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Citywide Property Holdings LLC	04/28/2008	Assist the Corporation in the furtherance of its mission and to enhance project development.

**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$4,916,051
Investments	\$3,626,381
Receivables, net	\$232,133
Other assets	\$215,130
<b>Total Current Assets</b>	<b>\$8,989,695</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$727,063
Long-term receivables, net	\$1,762,241
Other assets	\$757,011
<b>Capital Assets</b>	
Land and other nondepreciable property	\$416,821
Buildings and equipment	\$4,854,085
Infrastructure	\$144,591
Accumulated depreciation	\$3,039,954
Net Capital Assets	\$2,375,543
<b>Total Noncurrent Assets</b>	<b>\$5,621,858</b>
<b>Total Assets</b>	<b>\$14,611,553</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$97,068
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$72,892
Deferred revenues	\$883,112
Bonds and notes payable	\$350,000
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$1,403,072</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$1,350,000
Long Term Leases	\$0
Other long-term obligations	\$691,328
<b>Total Noncurrent Liabilities</b>	<b>\$2,041,328</b>

**Total Liabilities**

**\$3,444,400**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$835,543
Restricted	\$292,515
Unrestricted	\$10,039,095
<b>Total Net Assets</b>	<b>\$11,167,153</b>



Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

Charges for services	\$430,931
Rental & financing income	\$355,475
Other operating revenues	\$55,611
<b>Total Operating Revenue</b>	<b>\$842,017</b>

Operating Expenses

Salaries and wages	\$527,966
Other employee benefits	\$176,375
Professional services contracts	\$217,431
Supplies and materials	\$6,904
Depreciation & amortization	\$199,428
Other operating expenses	\$167,920
<b>Total Operating Expenses</b>	<b>\$1,296,024</b>

Operating Income (Loss) **(\$454,007)**

Nonoperating Revenues

Investment earnings	\$138,833
State subsidies/grants	\$0
Federal subsidies/grants	\$19,806
Municipal subsidies/grants	\$0
Public authority subsidies	\$403,306
Other nonoperating revenues	\$145,231
<b>Total Nonoperating Revenue</b>	<b>\$707,176</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$25,255
Subsidies to other public authorities	\$0
Grants and donations	\$65,980
Other nonoperating expenses	\$31,327
<b>Total Nonoperating Expenses</b>	<b>\$122,562</b>
<b>Income (Loss) Before Contributions</b>	<b>\$130,607</b>
Capital Contributions	\$0
Change in net assets	\$130,607
Net assets (deficit) beginning of year	\$11,036,546
Other net assets changes	\$0
Net assets (deficit) at end of year	\$11,167,153

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
<b>Authority Debt - General Obligation</b>					
Authority Debt - General Obligation					
<b>Authority Debt - Other</b>					
Authority Debt - Other					
<b>Authority Debt - Revenue</b>					
Authority Debt - Revenue	0.00	2,030,000.00	0.00	330,000.00	1,700,000.00
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					
<b>Other State-Funded</b>					
Other State-Funded					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.capitalizealbany.com">www.capitalizealbany.com</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.capitalizealbany.com">www.capitalizealbany.com</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

1. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Albany Improv, LLC  
Address Line1: 371 State Street #1  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12210  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$2,557.1  
Date Grant Awarded: 05/15/2017  
Purpose of Grant: Marketing and Business Recruitment

2. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Albany Jewish Community Center  
Address Line1: 340 Whitehall Road  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12208  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$5,000  
Date Grant Awarded: 10/17/2017  
Purpose of Grant: Marketing and Business Recruitment

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?



Grant Information

3. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Albany Land & Water Tours, LLC  
Address Line1: 120 Broadway  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12204  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$4,908.89  
Date Grant Awarded: 12/28/2017  
Purpose of Grant: Marketing and Business Recruitment

4. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Bull Markets Holding Company, LLC.  
Address Line1: 488 Broadway, Apt 506  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12207  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$32,000  
Date Grant Awarded: 08/15/2017  
Purpose of Grant: Business Expansion/Startup

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Was the grant expected to result  
in new jobs being created? Yes  
If yes, how many jobs were planned  
to be created? 3  
If yes, how many jobs have been  
created to date? 4

Grant Information

5. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Capital Region Nordic Alliance  
Address Line1: 28 Eileen Street  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12203  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$3,226.4  
Date Grant Awarded: 12/28/2017  
Purpose of Grant: Marketing and Business Recruitment

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

6. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Downtown Albany BID  
Address Line1: 21 Lodge Street  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12207  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$2,140.35  
Date Grant Awarded: 12/13/2017  
Purpose of Grant: Marketing and Business Recruitment

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Grant Information

7. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Electronic Body Arts  
Address Line1: 351 Hudson Avenue  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12210  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$3,672.22  
Date Grant Awarded: 08/15/2017  
Purpose of Grant: Marketing and Business Recruitment

8. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Lark Street BID  
Address Line1: 245 Lark Street  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12210  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$540  
Date Grant Awarded: 10/03/2017  
Purpose of Grant: Marketing and Business Recruitment

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Grant Information

9. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Lark Street BID  
Address Line1: 245 Lark Street  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12210  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$4,000  
Date Grant Awarded: 12/11/2017  
Purpose of Grant: Marketing and Business Recruitment

10. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: Pine Hills Neighborhood Association  
Address Line1: 52 S Allen Street  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12208  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$2,949.05  
Date Grant Awarded: 02/06/2017  
Purpose of Grant: Marketing and Business Recruitment

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Grant Information

11. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: The University at Albany Foundation  
Address Line1: 1400 Washington Avenue, UNH 212  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12203  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$787.87  
Date Grant Awarded: 01/12/2017  
Purpose of Grant: Marketing and Business Recruitment

12. Source of Grant Funds: Not for Profit  
Name of Grant Recipient: The University at Albany Foundation  
Address Line1: 1400 Washington Avenue, UNH 212  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12203  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$2,180  
Date Grant Awarded: 12/28/2017  
Purpose of Grant: Marketing and Business Recruitment

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

**Loan Information**

1. Source of Loan Funds: Other  
 Name of Loan Recipient: 196 Washington Ave., Inc.  
 Address Line1: 1 Rapp Road  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12203  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$125,000  
 Date Loan Awarded: 05/04/2000  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 22  
 Amount of Loan Principal Repaid  
 to Date: \$56,301.73  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

2. Source of Loan Funds: Other  
 Name of Loan Recipient: 40-48 South Pearl Street, LLC  
 Address Line1: 95 Daniel Street  
 Address Line2:  
 City: SLINGERLANDS  
 State: NY  
 Zip - Plus4: 12159  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$275,000  
 Date Loan Awarded: 07/09/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 6  
 Amount of Loan Principal Repaid  
 to Date: \$6,155.62  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

3. Source of Loan Funds: Other  
 Name of Loan Recipient: 412 Broadway Realty LLC  
 Address Line1: 664 Plank Rd  
 Address Line2: Suite 205  
 City: CLIFTON PARK  
 State: NY  
 Zip - Plus4: 12065  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$400,000  
 Date Loan Awarded: 10/17/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 12  
 Amount of Loan Principal Repaid  
 to Date: \$11,576.08  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 8  
 If yes, how many jobs have been  
 created to date? 6  
 Have the terms of the loan been  
 completed? No

4. Source of Loan Funds: Other  
 Name of Loan Recipient: 99 Pine Street of Albany, LLC  
 Address Line1: 525 Union Street, Suite 101  
 Address Line2:  
 City: SCHENECTADY  
 State: NY  
 Zip - Plus4: 12305  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$400,000  
 Date Loan Awarded: 08/03/2016  
 Interest Rate(%): 5.25  
 Length of Loan(# of years  
 to repay): 8  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

5. Source of Loan Funds: Other  
 Name of Loan Recipient: Ace Holding, LLC.  
 Address Line1: 2C Sterling Ridge Drive  
 Address Line2:  
 City: RENSSELAER  
 State: NY  
 Zip - Plus4: 12144  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$45,000  
 Date Loan Awarded: 11/02/2001  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 20  
 Amount of Loan Principal Repaid  
 to Date: \$12,857.65  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

6. Source of Loan Funds: Federal  
 Name of Loan Recipient: Ace Holding, LLC.  
 Address Line1: 2C Sterling Ridge Drive  
 Address Line2:  
 City: RENSSELAER  
 State: NY  
 Zip - Plus4: 12144  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$100,000  
 Date Loan Awarded: 02/19/2004  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$8,898.13  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No



Loan Information

7. Source of Loan Funds: Other  
 Name of Loan Recipient: Albany Center for Economic Success  
 Address Line1: 255 Orange Street  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$220,000  
 Date Loan Awarded: 04/20/1993  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 25  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 10  
 If yes, how many jobs have been  
 created to date? 10  
 Have the terms of the loan been  
 completed? No

8. Source of Loan Funds: Other  
 Name of Loan Recipient: Albany Community Development Agency  
 Address Line1: 200 Henry Johnson Blvd.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$90,000  
 Date Loan Awarded: 12/23/2011  
 Interest Rate(%): 5.75  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$46,946.30  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

9. Source of Loan Funds: Federal  
 Name of Loan Recipient: Albany Community Development Agency  
 Address Line1: 200 Henry Johnson Blvd.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$410,000  
 Date Loan Awarded: 12/23/2011  
 Interest Rate(%): 5.75  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$213,866.47  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

10. Source of Loan Funds: Federal  
 Name of Loan Recipient: Albany Community Development Agency  
 Address Line1: 200 Henry Johnson Blvd.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$200,000  
 Date Loan Awarded: 02/12/2004  
 Interest Rate(%): 4.25  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$118,940.18  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 5  
 If yes, how many jobs have been  
 created to date? 5  
 Have the terms of the loan been  
 completed? No

Loan Information

11. Source of Loan Funds: Federal  
 Name of Loan Recipient: Andrea Thompson-Howe  
 Address Line1: 241 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 05/26/1987  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

12. Source of Loan Funds: Federal  
 Name of Loan Recipient: Angela Boney D/B/A Boney Enterprises  
 Address Line1: P.O. Box 12421  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12212  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$15,000  
 Date Loan Awarded: 10/01/2002  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 17  
 Amount of Loan Principal Repaid  
 to Date: \$7,511.12  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

13. Source of Loan Funds: Federal  
 Name of Loan Recipient: Angela Boney D/B/A Boney Enterprises  
 Address Line1: P.O. Box 12421  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12212  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$55,000  
 Date Loan Awarded: 10/25/2000  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 20  
 Amount of Loan Principal Repaid  
 to Date: \$28,773.62  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

14. Source of Loan Funds: Federal  
 Name of Loan Recipient: Anthony Perkins  
 Address Line1: 225 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 03/29/1989  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

15. Source of Loan Funds: Other  
 Name of Loan Recipient: Arbor Hill Development Corporation  
 Address Line1: 241 Clinton Avenue  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$65,000  
 Date Loan Awarded: 08/12/1994  
 Interest Rate(%): 7  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$36,881.49  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

16. Source of Loan Funds: Federal  
 Name of Loan Recipient: Barbara Smith  
 Address Line1: 235 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 04/03/1987  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$11,107.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? Yes

**Loan Information**

17. Source of Loan Funds: Federal  
 Name of Loan Recipient: Benjamin White  
 Address Line1: 179 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 12/28/1988  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

18. Source of Loan Funds: Federal  
 Name of Loan Recipient: Byron Hamilton  
 Address Line1: P.O. Box 5216  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12205  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 11/23/1988  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

19. Source of Loan Funds: Other  
 Name of Loan Recipient: Center for Medical Science, Inc.  
 Address Line1: 747 Pierce Road  
 Address Line2:  
 City: CLIFTON PARK  
 State: NY  
 Zip - Plus4: 12065  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$500,000  
 Date Loan Awarded: 08/28/2009  
 Interest Rate(%): 7.25  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$99,698.28  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

20. Source of Loan Funds: Federal  
 Name of Loan Recipient: Commando Lodge  
 Address Line1: 39 Second Street  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 12/27/1996  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 20  
 Amount of Loan Principal Repaid  
 to Date: \$50,000.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? Yes

Loan Information

21. Source of Loan Funds: Federal  
 Name of Loan Recipient: Constance Spann  
 Address Line1: 173 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 12/05/1988  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$11,107.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? Yes

22. Source of Loan Funds: Other  
 Name of Loan Recipient: Costev, Inc.  
 Address Line1: 31 Hanacreek Drive  
 Address Line2:  
 City: RAVENA  
 State: NY  
 Zip - Plus4: 12143  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$145,000  
 Date Loan Awarded: 12/11/2008  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$20,513.27  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No



Loan Information

23. Source of Loan Funds: Federal  
 Name of Loan Recipient: Danz Properties Inc.  
 Address Line1: 386 Elk Street  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12206  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 06/20/2001  
 Interest Rate(%): 6.5  
 Length of Loan(# of years  
 to repay): 20  
 Amount of Loan Principal Repaid  
 to Date: \$75,000.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? Yes

24. Source of Loan Funds: Federal  
 Name of Loan Recipient: Historic Albany Foundation, Inc.  
 Address Line1: 89 Lexington Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12206  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$190,000  
 Date Loan Awarded: 10/19/2007  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 2  
 Amount of Loan Principal Repaid  
 to Date: \$190,000.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

25. Source of Loan Funds: Other  
 Name of Loan Recipient: Historic Pastures Mansions, LLC  
 Address Line1: 117 West Liberty Street  
 Address Line2:  
 City: ROME  
 State: NY  
 Zip - Plus4: 13440  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$616,950  
 Date Loan Awarded: 12/30/2014  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 33  
 Amount of Loan Principal Repaid  
 to Date: \$160,000.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

26. Source of Loan Funds: Federal  
 Name of Loan Recipient: James McBride  
 Address Line1: 243 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 11/26/1986  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

27. Source of Loan Funds: Federal  
 Name of Loan Recipient: Joyce Banks  
 Address Line1: 162 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 03/02/1989  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$3,613.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

28. Source of Loan Funds: Federal  
 Name of Loan Recipient: M.K. Rooney's Realty, LLC.  
 Address Line1: 61 Ryckman Avenue  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12208  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 09/14/2001  
 Interest Rate(%): 6.5  
 Length of Loan(# of years  
 to repay): 20  
 Amount of Loan Principal Repaid  
 to Date: \$51,810.01  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

Loan Information

29. Source of Loan Funds: Other  
 Name of Loan Recipient: MNM Holding Company, LLC  
 Address Line1: 374 Broadway  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12207  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$250,000  
 Date Loan Awarded: 11/13/2012  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 11  
 Amount of Loan Principal Repaid  
 to Date: \$141,491.93  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

30. Source of Loan Funds: Federal  
 Name of Loan Recipient: Mary Smalls  
 Address Line1: 260 Colonie Street  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$14,805  
 Date Loan Awarded: 10/23/1992  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

31. Source of Loan Funds: Other  
 Name of Loan Recipient: Michael and Antonella Urgo  
 Address Line1: 12 Briarwood Terrace  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12203  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$125,000  
 Date Loan Awarded: 06/16/2006  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$24,569.61  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

32. Source of Loan Funds: Federal  
 Name of Loan Recipient: Moses Devanandan  
 Address Line1: 177 Livingston Ave.  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$11,107  
 Date Loan Awarded: 03/31/1988  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

33. Source of Loan Funds: Other  
 Name of Loan Recipient: Paul Truman, Inc.  
 Address Line1: 80 North Pearl Street  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12207  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$45,000  
 Date Loan Awarded: 01/06/2004  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$40,170.28  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

34. Source of Loan Funds: Federal  
 Name of Loan Recipient: Richard Dobush  
 Address Line1: 16 Tivoli Street  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12207  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 07/02/2001  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 20  
 Amount of Loan Principal Repaid  
 to Date: \$39,908.34  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

Loan Information

35. Source of Loan Funds: Other  
 Name of Loan Recipient: Sixty State Place LLC  
 Address Line1: 50 State Street, 6th Floor  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12207  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$500,000  
 Date Loan Awarded: 04/29/2014  
 Interest Rate(%): 4.75  
 Length of Loan(# of years  
 to repay): 6  
 Amount of Loan Principal Repaid  
 to Date: \$500,000.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? Yes

36. Source of Loan Funds: Federal  
 Name of Loan Recipient: Steuben Place Partners  
 Address Line1: P.O. Box 648  
 Address Line2:  
 City: COHOES  
 State: NY  
 Zip - Plus4: 12047  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$850,000  
 Date Loan Awarded: 04/15/1981  
 Interest Rate(%): 0  
 Length of Loan(# of years  
 to repay): 100  
 Amount of Loan Principal Repaid  
 to Date: \$50,089.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

37. Source of Loan Funds: Other  
 Name of Loan Recipient: Theresa Kellom  
 Address Line1: 462 Madison Avenue  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12207  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$35,000  
 Date Loan Awarded: 12/31/2003  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$34,710.03  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

38. Source of Loan Funds: Federal  
 Name of Loan Recipient: Valentine Wilson  
 Address Line1: 258 Colonie Street  
 Address Line2:  
 City: ALBANY  
 State: NY  
 Zip - Plus4: 12210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$14,805  
 Date Loan Awarded: 10/23/1992  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 30  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No



Loan Information

39. Source of Loan Funds: Federal  
Name of Loan Recipient: William Windom  
Address Line1: 245 Livingston Ave.  
Address Line2:  
City: ALBANY  
State: NY  
Zip - Plus4: 12210  
Province/Region:  
Country: USA  
Original Amount of Loan: \$11,107  
Date Loan Awarded: 12/05/1986  
Interest Rate(%): 3  
Length of Loan(# of years  
to repay): 30  
Amount of Loan Principal Repaid  
to Date: \$0.00  
Purpose of Loan: Residential Property  
Construction/Acquisition/Rehabilitation/I  
mprovement  
Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

**Additional Comments:**

- 1) HJB Bonds - Issued in 1994 in the amount of \$1,975,000. Bond issue matures in March 2018. \$150,000 in bond principal was retired in 2017 and \$160,000 remains outstanding.
- 2) Corning Preserve Bonds - Issued in 2002 in the amount of \$4,390,000. Bond issue matures in May 2027. \$180,000 in bond principal was retired in 2017 and \$1,540,000 remains outstanding.

See notes to the financial statements for more information.